

Schools Forum – 3rd July 2018

Growth Fund - Allocation of Funding 2017/18

Recommendations

- 1) That the Schools Forum notes the allocations of Growth Funding (which includes infant class size legislation) and, where appropriate, the schools' financial self-declarations as requested by Forum.
 - a. Funding for Infant Class Size Legislation:
5 primary schools.
 - b. Funding for Basic Need Growth: exceptional growth:
2 primary schools; 0 middle schools; 0 secondary schools.
 - c. Funding for Basic Need Growth: revenue start-up costs for free schools:
2 new primary free schools due to open in 2019/20.

Report of the Deputy Chief Executive and Director for People:

Why is it coming here – what decision is required?

- 2) On 11 February 2013, Schools Forum agreed a Growth Fund policy and members asked to be advised of all funding allocations.
- 3) On 23 March 2016, Forum requested that schools receiving funding should complete a short financial self-declaration (see Appendices.).
- 4) On 3 October 2017, Forum approved the 2018/19 Growth Fund budget of **£95,000** to support compliance with infant class size legislation and **£500,000** to support Basic Need growth in the population (with any underspend being returned to the ISB 2019/20).

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Reasons for recommendations:

Funding for Infant Class Size Legislation

- 5) Funding for infant class size legislation: in accordance with Forum's approved criteria, **£65,799** from the **£95,000** budget will be allocated to 5 schools on the basis of an agreed number of infant teachers (see Appendix A for the schools' self declarations).
 - a) Ashcroft Infant and Nursery School (Tamworth)
£3,210 towards the cost of a 5th infant class teacher
 - b) Rushton CE (C) Primary School (Staffordshire Moorlands)
£11,234 towards the cost of 1 infant class teacher

- c) St. Leonard's CE(VA) First School, Ipstones (Staffordshire Moorlands)
£17,653 towards the cost of 1 infant class teacher
- d) The Henry Prince CE (C) First School (East Staffordshire)
£14,444 towards the cost of 1 infant class teacher
- e) The Meadows Primary School (Newcastle)
£19,258 towards the cost of a 2nd infant class teacher

Funding for Basic Need Growth: exceptional growth

- 6) Funding for exceptional growth in primary: in accordance with Forum's approved Growth Fund criteria, **£69,900** will be allocated to two primary schools that have worked with the LA to create additional classes in response to Basic Need growth (see Appendix B for the schools' self-declarations).
 - a) Bishop Lonsdale CE Academy (Stafford Borough)
£34,950 for 1 additional infant class teacher
 - b) Thomas Russell Infants School (East Staffordshire)
£34,950 for 1 additional infant class teacher
- 7) Funding for exceptional growth in middle and secondary: in accordance with Forum's approved Growth Fund criteria, **£0** will be allocated to 0 middle and 0 secondary schools that have worked with the LA to provide at least 5% of additional PAN places in response to Basic Need growth.

Funding for Basic Need Growth: revenue start-up costs for new free schools

- 8) Funding for revenue start-up costs for new free schools: in accordance with Forum's approved Growth Fund criteria, **£131,000** will be allocated to Streethay Free School in Lichfield and Pye Green Free school in Cannock towards pre- and post-opening start-up costs. (See Appendix C for an estimate of revenue start-up costs for new free schools opening by September 2022.)

Total expenditure

- 9) The allocation of **£200,900** for exceptional pupil growth is within the budget of £500,000, which represents an underspend of **£299,100**. This underspend, along with the **£29,201** underspend on infant class size legislation, will be returned to the ISB 2019/20.

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Appendix A: financial information for Infant Class Size applications 2018/19

Ashcroft Infant and Nursery School

Application for Infant Class Size Funding 2018/19 Self-Declaration Form	School Name Ashcroft Infant and Nursery School
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Previous three financial years	* (a) Total revenue budget £ (incl. reserves)	* (b) Total expenditure/ actual spend £	%age between expenditure and budget (b/a)*100	Commentary on the size and use of balances in each year NB this is intended to allow schools to explain to Forum where balances are high
17/18	659,390.00	627,428.00	95%	School budget has continued to be low as we are a small school with low levels of pupil premium. Staff illness has had an impact on reserves and the need to buy-in services has further stretched our already small budget. High need pupils continue to need full time support which is not funded.
16/17	683,670.00	638,306.58	94%	School budget has continued to be low as we are a small school with low levels of pupil premium pupils. We have seen a further increase in pupils with additional needs, maintaining a need for TA support.
15/16	671,130.00	666,800.42	99%	Reserves are low for school. Several bids were applied for by the previous headteacher and governor run provision for afternoon nursery provision was set up to generate extra funding for school . An increase in children with additional needs has maintained a need for TA support.
<i>2014/15</i>	<i>1,234,567</i>	<i>1,111,111</i>	<i>90%</i>	<i>Example</i>

Commentary on the school's need for an allocation of infant class size funding for 2017/18

The headteacher and governors have made decisions to enable a budget to be set each year. We have made restrictions in classes, staffing and made redundancies where possible. We continue to have increased high-needs pupils who do not receive any/enough additional funding for their needs. The funding application has been put forward to ensure we can meet the required staffing costs. Numbers on roll in September will be 123 with a further 30 pupils in Nursery. A total of 37 pupils have admitted to Reception meaning we will require two teachers for these classes. We will have a total of 86 pupils in KS1.

Rushton CE (C) Primary School (Staffordshire Moorlands)

Application for Infant Class Size Funding 2018/19 Self-Declaration Form	School Name Rushton First School 3103
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Previous three financial years	* (a) Total revenue budget £ (incl. reserves)	* (b) Total expenditure/ actual spend £	%age between expenditure and budget (b/a)*100	Commentary on the size and use of balances in each year NB this is intended to allow schools to explain to Forum where balances are high
2017/2018	£255,261.00	£255,217.85	99.98%	Everything minus DFC. Budget Includes use of £21,641 revenue balances.
2016/2017	£257,180.00	£235,910.54	91.72%	Everything minus DFC. Budget Includes use of £28,000 revenue balances.
2015/2016	£261,410.00	£241,030.43	91.72%	Everything minus DFC. Budget Includes use of £28,590 revenue balances.
<i>2016/17</i>	<i>1,234,567</i>	<i>1,111,111</i>	<i>90%</i>	<i>Example</i>

* As the year end for academies is 31 August the most recent year end for an academy will be 2016/17.

** Where possible show the individual school budget and expenditure figures (but where necessary show pooled budget figures).

Commentary on the school's need for an allocation of infant class size funding for 2018/19
As we are such a small school, the funding is required to enable the correct staffing ratios to be in place for all pupils. We aim to avoid teaching more than 2 year groups together in one class as this can be detrimental to teaching standards.

St. Leonard's CE (VA) First School, Ipstones (Staffordshire Moorlands)

Application for Infant Class Size Funding 2018/19 Self-Declaration Form	School Name: St Leonards Ipstones - 3490
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Previous three financial years	* (a) Total revenue budget £ (incl. reserves)	* (b) Total expenditure/ actual spend £	%age between expenditure and budget (b/a)*100	Commentary on the size and use of balances in each year NB this is intended to allow schools to explain to Forum where balances are high
2017-2018	£297,393	£297,326	99.98%	Includes use of revenue balances £31,703.
2016-2017	£258,140	£239,795	93%	Includes use of revenue balances £18,310.
2015-2016	£252,550	£233,781	93%	Includes use of revenue balances £10,630.
<i>2016/17</i>	<i>1,234,567</i>	<i>1,111,111</i>	<i>90%</i>	<i>Example</i>

* As the year end for academies is 31 August the most recent year end for an academy will be 2016/17.

** Where possible show the individual school budget and expenditure figures (but where necessary show pooled budget figures).

Commentary on the school's need for an allocation of infant class size funding for 2018/19
<p>We are a small village school, with 38 pupils.</p> <p>We are currently in the "requires improvement" category from Ofsted.</p> <p>We have worked hard, employing new experienced staff, to raise standards in the school to achieve a "good" outcome.</p> <p>We did have mixed age classes which Ofsted didn't score us well on, now we have separate classes which is working well, however we are very limited with our budget and concerned we cannot maintain the teaching staff we have in place who have already made a difference to our pupil progress. This we really need to sustain to get our school out of RI.</p>

The Henry Prince C.E. (C) First School & Nursery

Application for Infant Class Size Funding 2018/19 Self-Declaration Form	School Name The Henry Prince C.E. (C) First School & Nursery
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Previous three financial years	* (a) Total revenue budget £ (incl. reserves)	* (b) Total expenditure/ actual spend £	%age between expenditure and budget (b/a)*100	Commentary on the size and use of balances in each year NB this is intended to allow schools to explain to Forum where balances are high
2017-2018	£235,480	£314,628	133%	Agreed business plan with SCC
2016-2017	£238,980	£256,334	107%	Needs a further £17,354 to set a budget
2015-2016	£287,987 (Incl £16,037 carried forward)	£287,987	100%	Carried forward £16,037 from previous year as had predicted a fall in income based on pupil numbers.
<i>2016/17</i>	<i>1,234,567</i>	<i>1,111,111</i>	<i>90%</i>	<i>Example</i>

* As the year end for academies is 31 August the most recent year end for an academy will be 2016/17.

** Where possible show the individual school budget and expenditure figures (but where necessary show pooled budget figures).

Commentary on the school's need for an allocation of infant class size funding for 2018/19
Our school has suffered a significant fall in numbers on roll. We already teach in mixed year groups. These additional costs are to cover the costs of teaching staff.

The Meadows Primary School – this school submitted the financial information as part of their application, which is why the format is different.

I have included budget information below which evidences the school's difficulties in setting a balanced budget. Currently our budget is being reduced by a reduction in pupil numbers. The Meadows is very unique as it is housed in a privately owned building. We have a full repair lease and are charged £11,850 for rent and insurance per year. This is paid in full from the school's budget. Furthermore the school does not have a hall so we have to hire the community centre for PE and hire a coach each week to transport the children. This costs £5000 and is paid for directly from the school's budget. These various factors put additional financial pressures on the school, making our circumstances quite exceptional.

NOTE: Our school converted to become part of a multi-academy trust in October 2017, therefore, the projected figures below for 2017-18 are from 1st October 17 – 31st August 2018, all other years are actual figures for April-March.

Financial Figures

Year	Budget (incl use of reserves & DFC)	Actual Spend	% Diff	Carry Forward	Salary Cost	% of Budget
2017-18*	£455,010	£449,730	98.8%	£5,280	£347,149	76.3%
2016-17	£494,340	£501,393	101%	£18,515	£385,344	78%
2015-16	£496,488	£465,728	94%	£30,759	£356,444	72%
2014-15	£482,399	£470,321	97%	£12,077	£362,617	75%

***- please note that these totals are for a 11 month period not a full year.**

Appendix B: financial information for Basic Need Growth: Exceptional Growth applications 2018/19

Bishop Lonsdale CE Academy, Stafford

Previous three financial years	* (a) Total revenue budget £ (incl. reserves)	* (b) Total expenditure/ actual spend £	%age between expenditure and budget (b/a)*100	Commentary on the size and use of balances in each year NB this is intended to allow schools to explain to Forum where balances are high
2017/18	£1,039,884	£978,083	94%	£61,801 balance, premature retirement cost of £54,658 for 4 years for JB (plus 0.5% interest above BOE base rate. Replacement 3G pitch provision required of £50,000 by 2027. Asbestos hall roof provision required.
2016/17	£934,392	£841,009	90%	£93,383 agreement of balances - Premature Retirement Cost of £68,323.67 for JB (5 payments remaining subject to 0.5% interest above BOE base rate). Replacement 3G pitch provision required of £50,000 by 2027
2015/16	£927,430	£872,478	94%	£54,952 balance
2014/15	£800,932	£757,567	95%	£43,365 balance

* As the year end for academies is 31 August the most recent year end for an academy will be 2015/16.

** Where possible show the individual school budget and expenditure figures (but where necessary show pooled budget figures).

Commentary on the school's need for an allocation of Growth Fund for 2018/19

We have an influx of pupils due to the 3 housing developments in close proximity to the school, we are extending the academy to include 3 additional classrooms and a mobile in the interim to address the increase in admissions. We currently have 36 pupils in Reception, Year 1 has 31, Year 2 has 27, Year 3 has 32, Year 4 has 31, Year 5 has 39, and Year 6 has 28. As you can see we are oversubscribed in many year groups, technically we are still only a 1 Form Entry school and are likely to be that for academic year 2018/19 due to technicalities with our planned expansion and are therefore 14 pupils oversubscribed at present and anticipating more applications during the summer period due to families relocating to the new developments.

Thomas Russell Infants School, Burton

Growth Fund Policy – 2018/19 Allocation Self-Declaration Form			School Name - Thomas Russell Infants' School	
Previous three financial years	* (a) Total revenue budget £ (incl. reserves)	* (b) Total expenditure/ actual spend £	%age between expenditure and budget (b/a)*100	Commentary on the size and use of balances in each year NB this is intended to allow schools to explain to Forum where balances are high
Apr2015-Mar 2016	762,670	737,902	3.3%	Carry-forward of £24,200 brought forward from financial year Apr 2014-Mar 2015. £15k used from reserves in Apr 15-Mar 16. There was a carry-forward of £28,255 at the end of this financial year going into 16-17.
Apr-Aug 16	326,588	328,603	-0.6%	£2015 deficit recorded at end of partial financial year (Aug 16)
Sept 2016-Aug 2017	821,762 (reserves now absorbed into communal MAT 'pot')	806,105	2%	£15,657 surplus recorded at end of financial year (Aug 17)
Sept 2017-May 2018 (most current position available)	587,239 – actual income (reserves as above)	595,605		Budget set with deficit of -£17,361, which would come from MAT reserves. Currently forecast deficit of -£13,387.

* As the year end for academies is 31 August the most recent year end for an academy will be 2016/17.

** Where possible show the individual school budget and expenditure figures (but where necessary show pooled budget figures).

Commentary on the school's need for an allocation of Growth Fund for 2018/19

Budget allocation figures are taken from Staffs CC Total Resources Allocation Sheets and from EFA Annual Letters of Funding. Added to these are AEN funding estimates, sports premium figures and other non-capital funding. Total income figures taken from archived SAP reports (when a maintained school) and PS Financial (since becoming an academy). Carry-forward figure taken from annual Staffs CC Statement of Interest form.
(If you need any clarification on figures, copies of official allocation sheets or budgets, please let us know.)

This funding is needed due to the County Council requesting an expansion to accommodate rising numbers in the village and that therefore requires us to employ a further member of staff in order to accommodate the extra children we have admitted.

If we are not allocated the growth fund here applied for, we will have to set a deficit budget of over £45,000. This would be an intolerable burden for the school to bear and would have repercussions for the entire MAT. We have always been extremely prudent with our available finances and until the last couple of years always managed to end the financial year within our allocated budget. Over the past year or so, this has proved impossible with rising staffing and occupation costs out-pacing budget allocations in real terms. We want to provide the best possible education for all our pupils, but we have grave concerns over the implications to our financial position if we are not given this extra funding

Appendix C: Estimated revenue start-up costs for new free schools expected to open by September 2022

Opening date	Site location	School Type	District	2018/19	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25
2019	Streethay	1 FE Primary	Lichfield	£65,500	£57,250	£49,750	£43,000	£20,250	£13,500	£6,250
2019	Pye Green	1 FE Primary	Cannock Chase	£65,500	£57,250	£49,750	£43,000	£20,250	£13,500	£6,250
2020	Fradley Park	1 FE Primary	Lichfield		£65,500	£57,250	£49,750	£43,000	£20,250	£13,500
2020	Stafford North SDL	1 FE Primary	Stafford Borough		£65,500	£57,250	£49,750	£43,000	£20,250	£13,500
2020	Anker Valley	1 FE Primary	Tamworth		£65,500	£57,250	£49,750	£43,000	£20,250	£13,500
2021	Beamhill	1 FE Primary	East Staffordshire			£65,500	£57,250	£49,750	£43,000	£20,250
2022	Deanslade Farm	1 FE Primary	Lichfield				£65,500	£57,250	£49,750	£43,000
2022	Rugeley (location tbc)	1 FE Primary	Cannock Chase				£65,500	£57,250	£49,750	£43,000
2022	Land West of Uttoxeter	1 FE First	East Staffordshire				£65,500	£39,000	£32,250	£24,500
TOTALS				£131,000	£311,000	£336,750	£489,000	£372,750	£262,500	£183,750